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PTO/SB/05 (11/00)

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|   |                        |   |
|---|------------------------|---|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i> | Attorney Docket No.    | 930074-2010   |
|   | First Inventor         | Yao-Chang LIN   |
|   | Title                  | CIGARETTE BOX HAVING A LID THAT CAN BE TORN TO<br>FORM AN OPENING FOR DRAWING OUT A CIGARETTE STICK |
|   | Express Mail Label No. | EV345014229US   |

|   |  |
|---|--|
| <b>APPLICATION ELEMENTS</b><br><br>See MPEP chapter 600 concerning utility patent application contents.   | <b>Addressed to:</b> Mail Stop Patent Application<br>Commissioner for Patents<br>P.O. Box 1450<br>Alexandria, VA 22313-1450  |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br><i>(Submit an original, and a duplicate for fee processing)</i>  | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table<br>or Computer Program <i>(Appendix)</i>  |
| 2. <input checked="" type="checkbox"/> Applicant claims small entity status.<br>See 37 CFR 1.27.  | 8. Nucleotide and/or Amino Acid Sequence Submission<br><i>(if applicable, all necessary)</i>   |
| 3. <input checked="" type="checkbox"/> Specification [Total Pages 14]<br><i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"><li>- Descriptive title of the invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to sequence listing, a table, or a computer program listing appendix.</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings <i>(if filed)</i></li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul> | a. <input type="checkbox"/> Computer Readable Form (CFR)<br>b. Specification sequence Listing on: <ul style="list-style-type: none"><li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li><li>ii. <input type="checkbox"/> paper</li><li>c. <input type="checkbox"/> Statements verifying identity of above copies</li></ul> |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 15]   | <b>ACCOMPANYING APPLICATION PARTS</b>  |
| 5. Oath or Declaration [Total Pages 3] <ul style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li><li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br/><i>(for continuation/divisional with Box 18 completed)</i><ul style="list-style-type: none"><li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/>Signed statement attached deleting inventor(s)<br/>named in the prior application, see 37 CFR<br/>1.63(d)(2) and 1.33(b).</li></ul></li></ul>   | 9. <input type="checkbox"/> Assignment Papers (cover sheet & documents(s))   |
| 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76.  | 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney<br><i>(when there is an assignee)</i>   |
|   | 11. <input type="checkbox"/> Power of Attorney   |
|   | 12. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>   |
|   | 13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations  |
|   | 14. <input type="checkbox"/> Preliminary Amendment   |
|   | 15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br><i>(Should be specifically itemized)</i>   |
|   | 16. <input type="checkbox"/> Certified Copy of Priority Document(s)<br><i>(if foreign priority is claimed)</i>   |
|   | 17. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122(b)(2)(B)(i).<br>Applicant must attach from PTO/SB/35 or its equivalent.   |
|   | 17. <input checked="" type="checkbox"/> Other: check for \$375.  |

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_/\_\_\_\_  
Prior application information: Examiner: \_\_\_\_ Group/Art Unit: \_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

### 19. CORRESPONDENCE ADDRESS

|   |                         |  |
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| Name  |                         |  |
| Address   |                         |  |
| City  | State                   | Zip Code   |
| Country   | Telephone               | Fax  |
| Name (Print/Type)                                   | Ronald R. Sanudo        | Registration No. (Attorney/Agent)                        |
| Signature   | <i>Ronald R. Sanudo</i> | Date   |
|   |                         | September 4, 2003  |

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16569 U.S. PTO

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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

## Complete if Known

|                      |               |
|----------------------|---------------|
| Application Number   |               |
| Filing Date          | herewith      |
| First Named Inventor | Yao-Chang LIN |
| Examiner Name        |               |
| Attorney Docket No.  | 930074-2010   |

|                         |            |
|-------------------------|------------|
| TOTAL AMOUNT OF PAYMENT | (\$375.00) |
|-------------------------|------------|

### METHOD OF PAYMENT (check all that apply)

|  |                                      |                                      |                                |                               |
|--|--------------------------------------|--------------------------------------|--------------------------------|-------------------------------|
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| <input checked="" type="checkbox"/> Deposit Account: |                                      |                                      |                                |                               |
| Deposit Account Number: 50-0320                      |                                      |                                      |                                |                               |
| Deposit Account Name: Frommer Lawrence & Haug LLP    |                                      |                                      |                                |                               |

The Commissioner is authorized to: (check all that apply)

|  |   |
|--|---|
| <input type="checkbox"/> Charge fee(s) indicated below   | <input checked="" type="checkbox"/> Credit any overpayments |
| <input type="checkbox"/> Charge any additional fee(s) during the pendency of this application                              |   |
| <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account. |   |

### FEE CALCULATION

#### 1. BASIC FILING FEE

| Large Entity |        | Small Entity |        | Fee Description        | Fee Paid |
|--------------|--------|--------------|--------|------------------------|----------|
| Code         | Fee \$ | Code         | Fee \$ |                        |          |
| 1001         | 750    | 2001         | 375    | Utility filing fee     | 375      |
| 1002         | 330    | 2002         | 165    | Design filing fee      |          |
| 1003         | 520    | 2003         | 260    | Plant filing fee       |          |
| 1004         | 750    | 2004         | 375    | Reissue filing fee     |          |
| 1005         | 160    | 2005         | 80     | Provisional filing fee |          |
| SUBTOTAL (1) |        |              |        |                        | (\$375)  |

#### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

|                    |    |         |   |   |                |   |          |   |
|--------------------|----|---------|---|---|----------------|---|----------|---|
| Total Claims       | 12 | -20** = | 0 | X | Fee from below | = | Fee Paid | 0 |
| Independent Claims | 1  | -3** =  | 0 | X |                | = |          | 0 |
| Multiple Dependent |    |         |   | X |                | = |          | 0 |

| Large Entity |        | Small Entity |        | Fee Description  | Fee Paid |
|--------------|--------|--------------|--------|--|----------|
| Code         | Fee \$ | Code         | Fee \$ |  |          |
| 1202         | 18     | 2202         | 9      | Claims in excess of 20                                     |          |
| 1201         | 84     | 2201         | 42     | Independent claims in excess of 3                          |          |
| 1203         | 280    | 2203         | 140    | Multiple dependent claim, if not paid                      |          |
| 1204         | 84     | 2204         | 42     | ** Reissue independent claims over original patent         |          |
| 1205         | 18     | 2205         | 9      | ** Reissue claims in excess of 20 and over original patent |          |
| SUBTOTAL (2) |        |              |        |  | (\$0)    |

\*\* or number previously paid, if greater For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

| Large Entity        |        | Small Entity |        | Fee Description  | Fee Paid |
|---------------------|--------|--------------|--------|--|----------|
| Code                | Fee \$ | Code         | Fee \$ |  |          |
| 1051                | 130    | 2051         | 65     | Surcharge - late filing fee or oath  |          |
| 1052                | 50     | 2052         | 25     | Surcharge - late provisional filing fee or Cover sheet                     |          |
| 1053                | 130    | 1053         | 130    | Non-English specification  |          |
| 1812                | 2,520  | 1812         | 2,520  | For filing a request for <i>ex parte</i> reexamination                     |          |
| 1804                | 920*   | 1804         | 920*   | Requesting publication of SIR prior to Examiner action                     |          |
| 1805                | 1,840* | 1805         | 1,840* | Requesting publication of SIR after Examiner action                        |          |
| 1251                | 110    | 2251         | 55     | Extension for reply within first month                                     |          |
| 1252                | 410    | 2252         | 205    | Extension for reply within second month                                    |          |
| 1253                | 930    | 2253         | 465    | Extension for reply within third month                                     |          |
| 1254                | 1450   | 2254         | 725    | Extension for reply within fourth month                                    |          |
| 1255                | 1970   | 2255         | 985    | Extension for reply within fifth month                                     |          |
| 1401                | 320    | 2401         | 160    | Notice of Appeal   |          |
| 1402                | 320    | 2402         | 160    | Filing a brief in support of an appeal                                     |          |
| 1403                | 280    | 2403         | 140    | Request for oral hearing   |          |
| 1451                | 1,510  | 1451         | 1,510  | Petition to institute a public use proceeding                              |          |
| 1452                | 110    | 2452         | 55     | Petition to revive - unavoidable   |          |
| 1453                | 1300   | 2453         | 650    | Petition to revive- unintentional  |          |
| 1501                | 1300   | 2501         | 650    | Utility issue fee (or reissue)   |          |
| 1502                | 470    | 2502         | 235    | Design issue fee   |          |
| 1503                | 630    | 2503         | 315    | Plant issue fee  |          |
| 1460                | 130    | 1460         | 130    | Petitions to the Commissioner  |          |
| 1807                | 50     | 1807         | 50     | Processing fee under 37 CFR 1.17(q)  |          |
| 1806                | 180    | 1806         | 180    | Submission of Information Disclosure Stmt                                  |          |
| 8021                | 40     | 8021         | 40     | Recording each patent assignment per property (times number of properties) |          |
| 1809                | 750    | 2809         | 375    | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810                | 750    | 2810         | 375    | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801                | 750    | 2801         | 375    | Request for continued examination( RCE)                                    |          |
| 1802                | 900    | 1802         | 900    | Request for expedited examination of a design application                  |          |
| Other fee (specify) |        |              |        |  |          |
| SUBTOTAL (3)        |        |              |        |  | (\$0)    |

\* Reduced by Basic Filing Fee

#### SUBMITTED BY

|                   |                    |                                   |        |           |              |
|-------------------|--------------------|-----------------------------------|--------|-----------|--------------|
| Name (Print/Type) | Ronald F. Santucci | Registration No. (Attorney/Agent) | 28,988 | Telephone | 212-588-0800 |
| Signature         |                    | Date                              | 9/4/03 |           |              |

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggesting or reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231.. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop AFCommissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Yao Chang LIN  
U.S. Serial No. : To Be Assigned  
Filing Date : Concurrent Herewith  
For : CIGARETTE BOX HAVING A LID THAT CAN BE  
TORN TO FORM AN OPENING FOR DRAWING  
OUT A CIGARETTE STICK


745 Fifth Avenue  
New York, New York 10151

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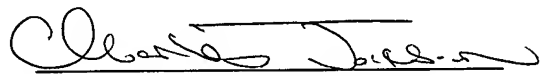
I hereby certify that the UTILITY PATENT APPLICATION above-referenced is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to:

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